



FENZ Approach to Regulatory Compliance

Mike Shaw –
Regulatory Compliance Manager



Fire and Emergency – the journey so far

- **Fire and Emergency formed in 2017**
- **Fire and Emergency Act 2017**
- **FENZ was appointed as a Regulator**
- **Regulatory Compliance Function**





Strategic objectives

1. Develop a structured, comprehensive and proactive regime for Regulatory Compliance
2. Promote Voluntary and self managed Compliance through education, information and smart systems.
3. Build strong relationships within and outside of government to foster a coordinated, proactive approach to Regulatory Compliance in the fire and emergency sector.





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What we regulate

- **FENZ ACT 2017**
- **FENZ Offences Regulations 2018 (Infringement Offences)**
- **FENZ Fire Plans Regulations 2018 (Fire Control)**
- **Fire Permit Regulations**
- **FENZ Fire Safety, Evacuation Procedures, and Evacuation Schemes Regulations 2018**
- **Contribute advice on approvals of Building Consent and Fire Design**
- **Levy**



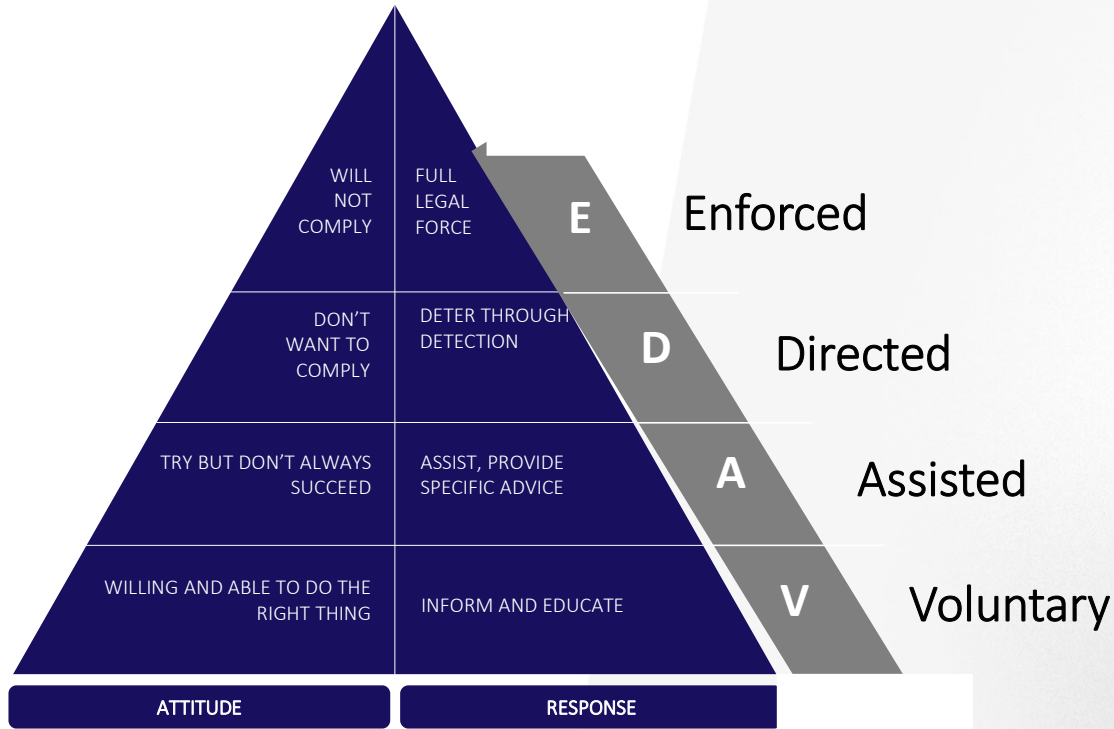


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Our Approach: Braithwaite Triangle





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Cultural Challenge

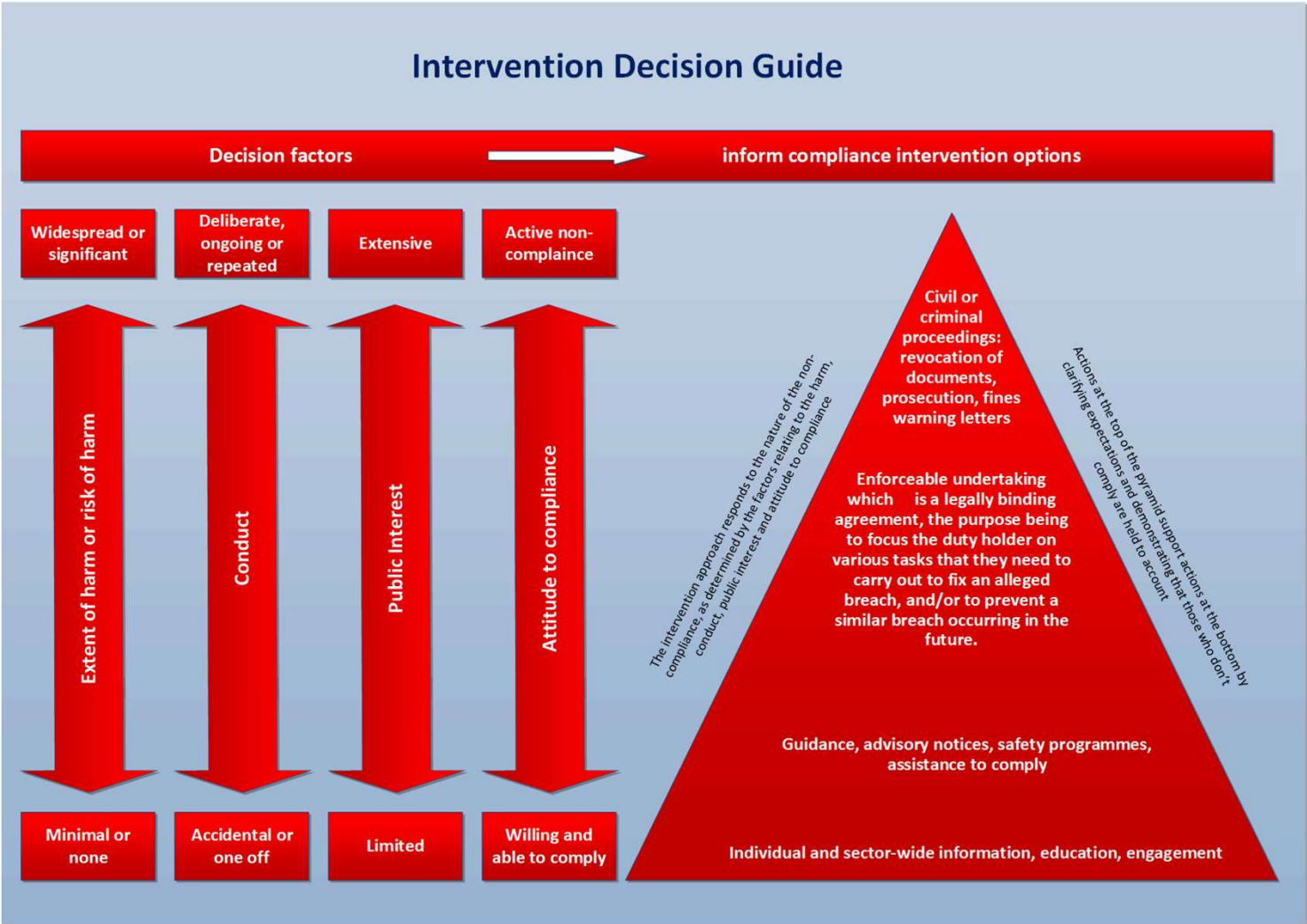


Process

Regulatory Compliance – Working Group

- Regulatory Compliance Team member (s)
- FENZ Legal Team Rep
- National SME
- District Leadership Team







There are 4 main enforcement pathways:

- Warning
- Infringements – carry a fixed fee / no conviction recorded
- Court prosecutions – potential term of imprisonment and / or a fine
- Court order- to close a building until it is compliant with the Act





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Offences - Example

- An owner who fails to provide and maintain an evacuation scheme for a relevant building
- Infringement and Prosecution Offence
- To a term of imprisonment not exceeding 6 months
- \$75,000 or \$150,000 (company)

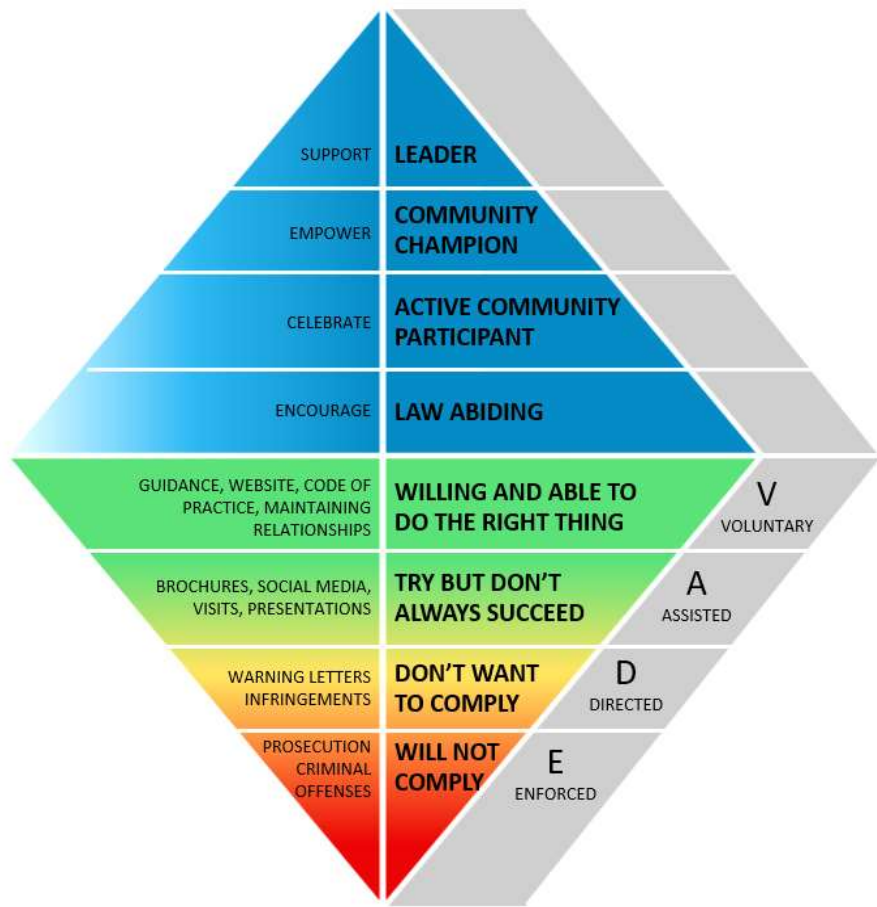




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Regulatory diamond





More information

Regulatory Compliance Guidebook
Guide to our approach to Regulatory Compliance

www.fireandemergency.nz



